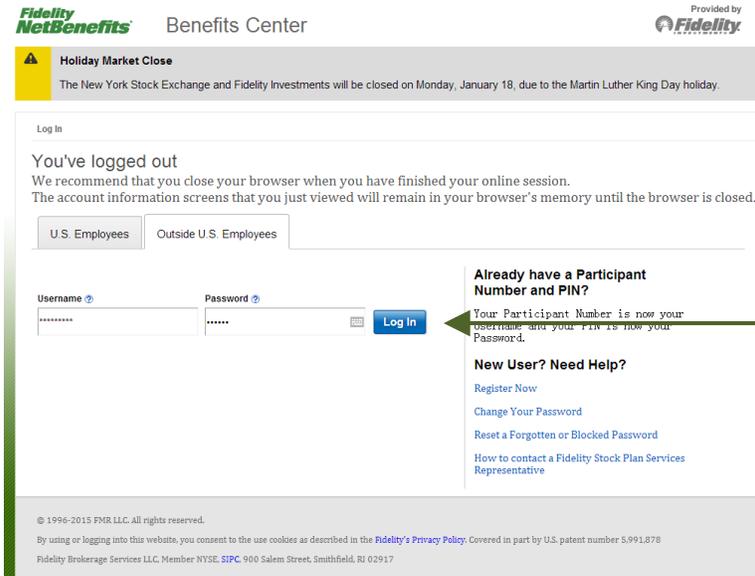
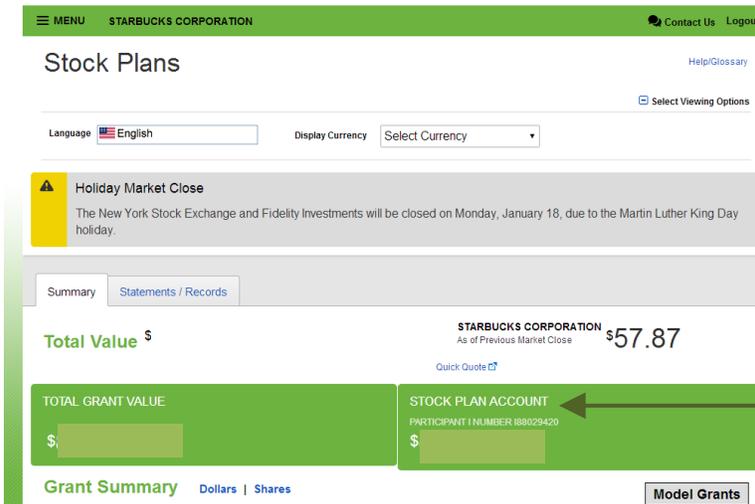


如何交易股票计划账户中的股份

按以下步骤交易已归属公司股份。*



1. 打开 www.netbenefits.com 网站，用参与者编号和自己设置的密码登录



2. 在主页上点击股票计划账户 (Stock Plan Account)



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MENU STARBUCKS CORPORATION Contact Us Logout

Stock Plan Account Detail

Participant ID: I88029420 [Help/Glossary](#)

Account Details **Stock Sell** Withdraw Money Statements & Records Documents [View Stock Sale History](#)

AS OF 10:30 PM ET JAN/12/2016

Stock Plan Account Total Assets

Total Value of Securities Cash Credit Balances from Unsettled Activity

\$0.00 USD \$0.00 USD

Position Summary/Cost Basis Total Number of Positions: 1 AS OF 3:30 AM ET JAN/13/2016

Symbol	Description	Quantity	Closing Price	Closing Mkt Value	Unrealized Gain/Loss	Unrealized Gain/Loss %	Total Cost Basis	Action
SBUX	STARBUCKS CORP							Sell Request

Totals

+ Closed Positions †

3. 在该页上点击出售(Sell Request)，进行售卖交易

注意：股票出售遵循“先进先出”(“FIFO”)的原则（意即最早的股份最先出售），除非在结算前您明确指出了要出售的股份。

MENU STARBUCKS CORPORATION

Stock Plan Account Detail

Account Details **Stock Sell** Withdraw Money Statements / Records Documents

STOCK SELL REQUEST STOCK SELL REQUEST STATUS

Stock Sell Request

Participant Number:

Action: Sell

Quantity:

Symbol: SBUX [Quick Quote](#)

Type: Market Limit: \$
 Set a Stop Loss: \$
 Set a Stop Limit: \$

Time in Force: Day

Conditions: None

Tax Lots: Choose Specific Shares

[Next >](#)

 [Cancel](#) transaction and return to Plan Summary

4. 在股份数量(Quantity)处，填写你需要出售的RSU数量。操作时，如果想要美股“开市即卖”的，请参见步骤5；操作时，如果想要“限价抛售”的，请参见步骤6

Stock Plan Account Detail

Account Details **Stock Sell** Withdraw Money Statements / Records Documents

STOCK SELL REQUEST STOCK SELL REQUEST STATUS

Stock Sell Request

Participant Number: _____

Action: Sell

Quantity:

Symbol: SBUX [Quick Quote](#)

Type: Market
 Limit: \$
 Set a Stop Loss: \$
 Set a Stop Limit: \$

Time in Force: Day

Conditions: None

Tax Lots: Choose Specific Shares

Next >

5. 开市即卖:

请选择交易类型开市即卖(Market)

请在时效性(Time in Force)处选择当天有效(Day)

请在状态(Condition)处选择无(None)

点击下一步(Next)

[Cancel](#) transaction and return to Plan Summary

Stock Plan Account Detail

Account Details Stock Sell **Withdraw Money** Statements / Records Documents

STOCK SELL REQUEST STOCK SELL REQUEST STATUS

Stock Sell Request

Participant Number: _____

Action: Sell

Quantity:

Symbol: SBUX [Quick Quote](#)

Type: Market
 Limit: \$ 65
 Set a Stop Loss: \$
 Set a Stop Limit: \$

Time in Force: Good 'til Canceled

Conditions: None

Tax Lots: Choose Specific Shares

Next >

6. 限价抛售:

请选择交易类型限价抛售(Limit)

请在时效性(Time in Force)处选择取消前有效(Good 'til cancel), 并填写你满意的股价(在该例子中假设股价必须达到 65 美金才交易)

请在状态(Condition)处选择无(None)

点击下一步(Next)

[Cancel](#) transaction and return to Plan Summary

Review and Submit for Stock Sell Request

Warning:
 (022813) A GTC order executing over multiple days incurs a separate commission charge each day there is an execution.

Please verify your information below, then click Submit. If you haven't already done so, sign your W8 or W9 Certification in order to avoid automatic maximum tax withholding.

Stock Sell Request Information	
Participant Number	-----
Action	Sell Request
Quantity	2
Symbol	SBUX
Description	STARBUCKS CORP
Price Type	Limit at \$65.00
Time in Force	Good 'til Canceled
Conditions	None

Quote Information
as of JAN/14/2016
Last Price: \$57.87
Bid Price: \$57.17
Ask Price: \$58.46

< Previous Submit >

Cancel transaction and return to Plan Summary

This Sell Request will not be received until you click "Submit". If you leave this page prior to clicking the "Submit" button or you select "Cancel", this Sell Request will not be processed.

By pressing the "Submit" button, you understand that this Sell Request does not cancel or cancel and replace any existing Sell Request, and you are agreeing that the Sell Request information is correct and are authorizing the transaction on your behalf.

To void this Sell Request, press the "Cancel" link. The request will not be processed.

7. 该页面上显示了你即将进行的抛售交易的具体信息，包括 RSU 股数、交易类型、时效性等信息，如左边蓝色方框显示的信息

桔色信息显示了前一交易日的股价信息，供伙伴参考

至此，想要完成交易的，请点击提交 (Submit)。如果你选择的是开市即卖，美股开市就会进行交易，因此请谨慎选择。

在提交(Submit)前如果想要取消交易，可以选择取消(Cancel)

中国籍伙伴个人所得税缴纳义务

1. RSU 归属时：个税以股份的形式在 Fidelity 扣减，实际缴交的个税金额由公司垫付并代为缴交至当地税局
2. RSU 抛售时：伙伴有责任自行申报“资本利得税”至当地税局