如何交易股票计划账户中的股份

按以下步骤交易已归属公司股份。*

Fidelity NetBen	efits	Benefits Cent	er		Provided by		
A Holid	Holiday Market Close						
The N	lew York Sto	ock Exchange and Fidelity Inv	estments will be closed on	Monday, January 18, due to the Martin	Luther King Day holiday.		
Log in							
You've We recon The accou U.S. En	loggec nmend th int inform nployees	out at you close your brows nation screens that you Outside U.S. Employees Password @	er when you have fin iust viewed will rema	shed your online session. n in your browser's memory u Already have a Part Number and PIN? Your Participant Numb	ntil the browser is closed.		
				Password. New User? Need He Register Now Change Your Password Reset a Forgotten or Blocke How to contact a Fidelity St Representative	JP? JP? d Password tock Plan Services		
© 1996-2015 FMR.LLC. All rights reserved. By using or logging into this website, you consent to the use coolese as described in the Fidelity's Privacy Folicy . Covered in part by U.S. patent number 5,991,878 Fidelity Brokerage Services LLC. Member NYSE, SPC, 900 Salem Street, Smithfield, RI 02917							

 打开 <u>www.netbenefits.com</u> 网站, 用参与者编号和自己设置的密码 登录

■ MENU STARBUCKS CORPORATION	😪 Contact Us Logout					
Stock Plans	Help/Glossary					
	Select Viewing Options					
Language English Disp	lay Currency					
Holiday Market Close The New York Stock Exchange and Fidelity Investments will be closed on Monday, January 18, due to the Martin Luther King Day holiday.						
Total Value ^{\$}	STARBUCKS CORPORATION As of Previous Marriet Close \$57.87 Quick Quote 0					
TOTAL GRANT VALUE	STOCK PLAN ACCOUNT PWRTICIPWIT INUMBER 1880229420 \$					
Grant Summary Dollars Shares	Model Grants					

2. 在主页上点击股票计划账户(Stock
 Plan Account)

	THE						() Fi	Powered by delity
	JSTARBU	UCKS CORPOR	ATION				🗣 Contact U	s Logout
Stock Plan Account Detail Participant ID: 188029420						1	lelp/Glossary	
Accou	nt Details	Stock Sell	Withdraw Money	Statements & Records	Documents	5		
							View Stock Sal	e History
AS OF 10:	30 PM ET JAN/1:	2/2016		\$,				
Stocl	C Plan A	ccount	otal Assets	Cash		Credit Balan	cas from Linsattlad i	Activity
	iotai valut	e or decunites		\$0.00 USD		Oreal Dalar	\$0.00 USD	cuvity
- Po	sition Sumn	nary/Cost Ba	isis		Total Num	iber of Positions:	1 AS OF 3:30 AM ET J	AN/13/2016
Symbol	Description	Quant	ty Closing Price	Closing Mkt Value	Unrealized Gain/Loss	Unrealized Gain/Loss %	Total Cost Basis	Action
SBUX	STARBUCKS CORP	N						Sell Request
Totals								
+ Clo	sed Positic	ons [†]						

*注意:*股票出售遵循"先进先出"("FIFO")的原则(意即最早的股份最先出售),除非*在结算前*您明确指出了要出售的股份。

■ MENU STARBUCKS CORPORATION

Stock Plan Account Detail

Account Details	Stock Sell	Withdraw Money	/ Statements	/ Records	Documents			
STOCK SELL REQUEST STATUS								
Stock Sell Pegu	eet							
Stock Sell Requ	631							
Participant	Number:							
	Action: Sell							
2)uantity:							
	Symbol: SB	JX 🛛 🗹 Quick	Quote					
	<u>Type</u> : O <u>N</u>	<u>larket</u>						
	0 <u>L</u>	imit: \$						
	09	et a Stop Loss: \$						
	<u> </u>	et a Stop Limit: \$						
Time i	in Force: Da	v	•					
Cor	nditions: No	ne						
1	ax Lots:	Choose Specific Sha	res					
	_							
		Next >						

4. 在股份数量(Quantity)处,填写 你需要出售的 RSU 数量。
操作时,如果想要美股"开市即 卖"的,请参见步骤 5;
操作时,如果想要"限价抛售"
的,请参见步骤 6

Stock Plan Account Detail	_
Account Details Stock Sell Withdraw Money Statements / Records Documents	5
STOCK SELL REQUEST STATUS	
	┏ 工 击 即 去
Stock Sell Request	
Participant Number:	一
Action: Sell	注于TH 放射/Time in Fames) 协选权
Symbol: SBUX 🖸 Quick Quote	— 頃住时效性(Time in Force) 处选择
Type: Market	当天有效(Day)
OLimit: \$	
Set a Stop Loss: \$	—请在状态(Condition)处选择无
Set a Stop Limit: \$	(None)
	(None)
Conditions: None	占圭下一步(Next)
Tax Lots: Choose Specific Shares	
Next >	
E MENU STARBUCKS CORPORATION Stock Plan Account Detail	
Account Details Stock Sell Withdraw Money Statements / Records Documents	
STOCK SELL REQUEST STOCK SELL REQUEST STATUS	
	6. 限价抛售:
STOCK Sell Request	— 请选择交易类刑限价抛隹(Limit)
Participant Number	用远针文列天主限 所抛音(Linik)
Action: Sell	连方时就州/Time in Ferrer》协进权取消益
Symbol: CRUX	肩住的效性(IIme in Force) 处选择取捐制
Type: Market	→有效(Good 'til cancel),并填写你满意的
OLimit: \$ 65	股价(在该例子中假设股价必须达到65
Set a Stop Loss: \$	※ハー、E ハハリ ー IC (ハルリン ハルン) 00 ※ハーナ六日)
Oset a Stop Limit: \$	夫金 才父汤)
Time in Farmer, And Mill Consolid a	
Ime in Force: Good til Canceled	请任状态(Condition)处选择尤(None)
Tax Lots: Choose Specific Shares	
Tax Lots: Choose Specific Shares	——点击下一步(Next)

S Cancel transaction and return to Plan Summary

	RBUCKS CORPORATION					
Review and S	ubmit for Stock Sell Request					
• Warning: (022813) A GTC ord day there is an exe Please verify your in W8 or W9 Certificat	der executing over multiple days incurs a s ecution. nformation below, then click Submit. If you ion in order to avoid automatic maximum l	separate commission charge each I haven't already done so, sign your tax withholding.	7. 该页面上显示了你即将进行的抛 售交易的具体信息,包括 RSU 股 数、交易类型、时效性等信息, 加东边蓝色方框显示的信息			
Stock Sell Reques	t Information		如止这面已乃但亚尔的自心			
Participant Number	r					
Action	Sell Request	Quote	桔色信息显示了前一交易日的股价。			
Quantity	2	as of JAN/14/2016	白 供供你会求			
Description	STARBUCKS CORP	Last Price: \$57.87	息,供伙伴参考			
Price Type	Limit at \$65.00	Bid Price: \$57.17				
Time in Force	Good 'til Canceled	Ask Price: \$58.46	至此,想要完成交易的,请占击提交			
Conditions	None		上此, 心文儿,风久勿前, 府杰田,泛久			
			(Submit)。如果你选择的是开市即			
	< Previous Submit :					
🐼 Cancel tra	ion and roturn to Plan Summany	_	头,夫取开巾机会进行父勿,凶此闲			
This Sell Request will the "Submit" button	' I not be received until you click "Submit". If or you select "Cancel", this Sell Request wi	you leave this page prior to clicking Il not be processed.	谨慎选择。			
By pressing the "Su and replace any exi correct and are auth	bmit" button, you understand that this Se sting Sell Request, and you are agreeing horizing the transaction on your behalf.	Request does not cancel or cancel that the Sell Request information is	在提交(Submit)前如果想要取消交			
To void this Sell Req	quest, press the "Cancel" link. The reques	t will not be processed.				

中国籍伙伴个人所得税缴纳义务

- 1. RSU 归属时: 个税以股份的形式在 Fidelity 扣减,实际缴交的个税金额由公司垫付并 代为缴交至当地税局
- 2. RSU 抛售时:伙伴有责任<u>自行申报</u>"资本利得税"至当地税局